

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **1239**  
First Inventor **Lane Weinberg**  
Title **METHOD AND APPARATUS FOR SUPPORTING A RAISED FLOOR AND A TOOL**  
Express Mail Label No. **EL528759832US**

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **17**]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **2**]
5. Oath or Declaration [Total Pages **2**]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy (CRF)
  - b. Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: .....

Prior application information: Examiner .....

Group / Art Unit: .....

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name **Charles E. Gotlieb**  
**Innovation Partners**

Address **540 University Avenue**  
**Suite 300**

City **Palo Alto**

State

**CA**

Zip Code

**94301**

Country

**US**

Telephone

**650-328-0100**

Fax

**650-328-2844**

Name (Print/Type) **Charles E. Gotlieb**

Registration No. (Attorney/Agent)

**38,164**

Signature

*Charles E. Gotlieb*

Date

**November 19, 2001**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time that you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL for FY 2002

Complete if Known

Application Number	
Filing Date	11/19/2001
First Named Inventor	Lane Weinberg
Examiner Name	
Group Art Unit	
Attorney Docket Number	1239

TOTAL AMOUNT OF PAYMENT (\$) 780

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit  
Account  
Number  
  
Deposit  
Account  
Name

07-1738

Charles E. Gotlieb

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17.

- ☐ Applicant Claims small entity status  
See 37 CFR 1.27

2. ☒ Payment Enclosed

- ☐ Check ☒ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					740

## 2. EXTRA CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
17 - 20 =			
3 - 3 =			
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim
109	84	209	42	**Reissue Independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

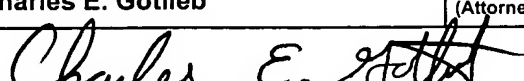
## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting a publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1440	218	720	Extension for reply within fourth month	
128	1960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1510	138	1510	Petition to Institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1280	241	640	Petition to revive - unintentional	
142	1280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expediated examination of a design application	
Other fee (specify)					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					40

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Charles E. Gotlieb	Registration No. (Attorney/Agent)	38,164	Telephone	650-328-0100
Signature				Date	11/19/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor		Weinberg
	Title	METHOD AND APPARATUS FOR SUPPORTING A RAISED FLOOR AND A TOOL	
	Atty Docket Number		1239

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122 (b).

11/19/2001

Date \_\_\_\_\_

Charles E. Jett

Signature

Charles E. Gotlieb

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33 (b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

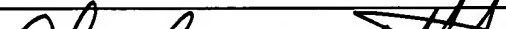
If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**



001/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office		Application Number			
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>				Filing Date		11/19/2001	
				First Named Inventor		Lane Weinberg	
				Group Art Unit			
				Examiner Name			
				Total Number of Pages in This Submission		3	

ENCLOSURES (check all that apply)					
<input checked="" type="checkbox"/>	Fee Transmittal Form	<input type="checkbox"/>	Assignment Papers (for an Application)	<input type="checkbox"/>	After Allowance Communication to Group
<input type="checkbox"/>	Fee Attached	<input type="checkbox"/>	Drawing(s)	<input type="checkbox"/>	Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/>	Amendment / Response	<input type="checkbox"/>	Licensing-related Papers	<input type="checkbox"/>	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/>	After Final	<input type="checkbox"/>	Petition Checklist and Accompanying Petition	<input type="checkbox"/>	Proprietary Information
<input type="checkbox"/>	Extension of Time Request	<input type="checkbox"/>	To Convert a Provisional Application	<input type="checkbox"/>	Status Letter
<input type="checkbox"/>	Express Abandonment Request	<input type="checkbox"/>	Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/>	Additional Enclosure(s) (please identify below)
<input type="checkbox"/>	Information Disclosure Statement/PTO/SB/08B	<input type="checkbox"/>	Terminal Disclaimer		
<input type="checkbox"/>	Certified Copy of Priority Document				
<input type="checkbox"/>	Response to Missing Parts/ Incomplete Application				Utility Patent Application as filed, Declaration by Sender, postcard
<input type="checkbox"/>	Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks			

# 100101

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Charles E. Gottlieb
Signature	
Date	December 4, 2001

Certificate of Mailing			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>December 4, 2001</u>			
Typed or printed name		Lauren Gellman	
Signature	<i>Lauren Gellman</i>	Date	December 4, 2001

**Burden Hour Statement:** This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

I, Lauren Gellman, do hereby declare:

1. I am over 21 years of age, and I am signing this document in the state of California.
2. To the best of my knowledge, the enclosed Express Mail package was sent to The Assistant Commissioner for Patents on November 19, 2001. On November 19, 2001, I delivered the Express Mail package to the U.S. Post Office located on Hamilton Ave. in Palo Alto, CA. The package included the complete Utility Patent Application for Attorney Docket Number 1239. After sending this package, we were informed that due to current operating conditions and government security measures, Express Mail products destined for federal government agencies in Washington D.C. (ZIP Codes 202 through 205), were experiencing delays. Therefore, Express Mail service to Washington, D.C., ZIP Codes 202 through 205 had been temporarily suspended. As a result of this temporary suspension, the Express Mail package I mailed on November 19, 2001 was returned to our office. Per the instruction of the United States Patent and Trademark Office, we are resending the Utility Patent Application, as sent on November 19, 2001, to the newly provided address.
3. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

Name. Lauren Gellman

Signature: Lauren Gellman  
12/4/2001

1004421-11901